

## Analysis of Small Spalling Mechanism on Hot Rolling Mill Roll Surface

by

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### Abstract

In order to analyze the small spalling mechanism on the surface of the hot rolling mill high speed steel roll, an actual hot rolling mill roll was investigated, and the thermal cycle and Mode II fatigue crack growth tests, which reflect the results of the investigation, were carried out. From the investigation of the actual hot rolling mill roll, it was proven that the small spalling was generated by heat crack initiation by thermal cycling and Mode II fatigue crack growth. The crack due to the thermal cycling was initiated in the oxide area. Estimation of the small spalling hot rolling mill roll life on the roll surface became possible based on the relationship between the crack growth rate  $da/dN$  and threshold Mode II stress intensity factor range  $\Delta K_{IIth}$ , and it was clarified that the life of the Mode II fatigue crack growth was longer than that of the crack initiation life by thermal cycling.

**Keywords:** Hot rolling, Roll, Small spalling, Thermal crack, Mode II fatigue crack growth

### 1. Introduction

In a hot rolling mill, high speed steel, which has a high wear resistance, is used for the rolls, and the high speed steel rolls have an increase in roll life with improvement of the roll performance<sup>1),2)</sup>. However, in the hot rolling mill roll, there is a case which a crack initiates by thermal cycling on the roll surface, and this crack grows by mechanical loading<sup>3),4)</sup>. The roll surface becomes rough due to the crack growth and small spalling, which cause an increase in the roll regrinding thickness. In this study of high speed steel, which plays an important role in the hot rolling mill roll, the crack initiation by thermal cycling and the crack growth by mechanical loading were tested by dividing it into elements as factors of small spalling on the roll surface. The small spalling life of the actual hot rolling mill roll was then verified using the test results.

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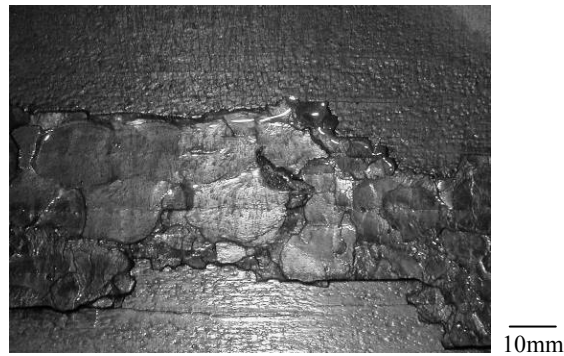
## 2. Actual hot rolling mill roll investigation

### 2.1 Cross sectional observation of the small spalling place

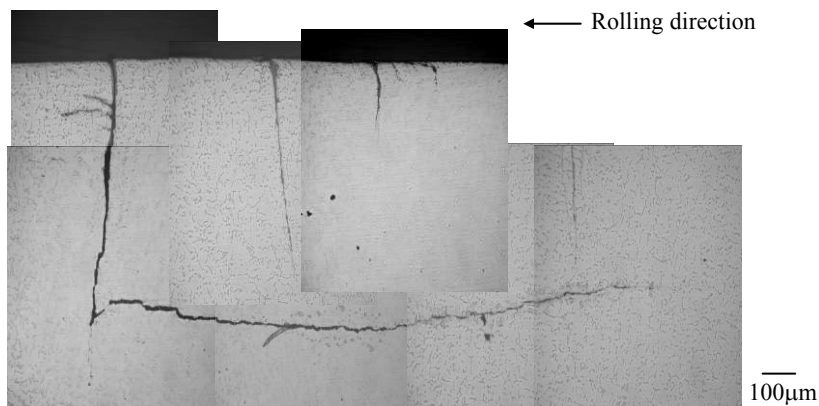
**Figure 1** shows the small spalling which exists on the hot rolling mill roll surface after  $1.75 \times 10^5$  cycles. The roll diameter is 410mm. The finish processing of the roll surface was carried out by a grinding machine and surface roughness of the roll was  $Ra\ 1.0\mu\text{m}$ .

The crack depth is 1 - 2mm from the roll surface, and the size of the small spalling is about several mm - 10mm in the rotation's direction. Each small spalling connection to the rotation's direction was observed. The Hertzian pressure of  $P_0 = 1747\text{MPa}$  and contact half-width of  $c = 6.2\text{mm}$  were obtained from the rolling condition based on the elastic calculation. **Figure 2** shows the cross sectional observation of the small spalling site of the actual hot rolling mill roll. Some cracks perpendicular to the roll surface were observed, and one crack, which grew in the roll circumferential direction, was observed.

← Rolling direction



**Fig.1** Small spalling of the hot rolling mill roll.



**Fig.2** Cross section of the actual hot rolling mill roll.

## 2.2 Discussion of the small spalling

### 2.2.1 Cracks perpendicular to the roll surface

During rough rolling and finish front rolling, when the roll surface is rapidly cooled during rolling, cracks are initiated by tensile stress which act on the roll surface<sup>3),4)</sup>. The tensile stress of the roll surface causes the perpendicular crack initiation on the roll surface. The observed crack shown in **Fig.2** has a shape similar to that reported in the literatures<sup>3),4)</sup>.

### 2.2.2 Circumferential crack on roll subsurface

Due to the rolling mechanical loading, the perpendicular crack seems to grow in the circumferential direction. The perpendicular crack is closed by the Hertzian pressure, because the stress field of the Hertzian contact region is compression<sup>5)</sup>. When liquid from the outside does not enter to the crack surface as in a perpendicular crack, the crack shifts to a Mode II crack growth without causing Mode I crack growth<sup>3)</sup>. The circumferential crack observed in **Fig.2** is thought to grow by the Mode II loading.

## 3. Element tests of the small spalling

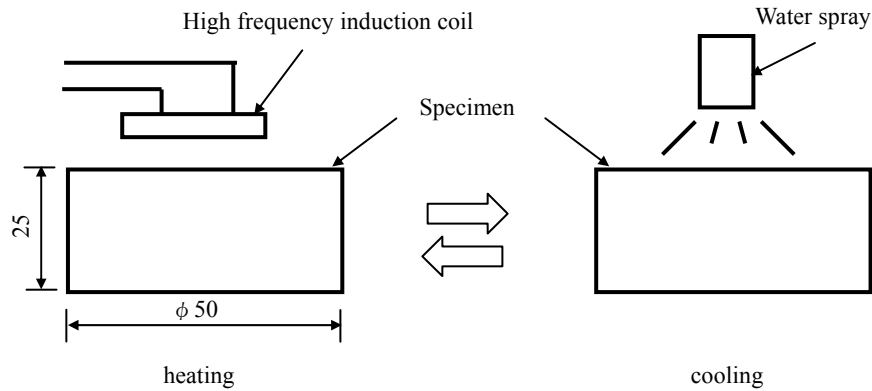
In this study, the perpendicular crack initiation seemed to be caused by thermal cycling, and the circumferential crack seemed to be caused by the Mode II fatigue crack growth. The crack initiation and propagation were separated, and the tests were carried out using the same hot rolling mill roll material. The specimen was cast in a  $\phi 90 \times 400$  mm cylinder metal mold. For the thermal cycle test (described in section 3.1), the test sample was cut out in the direction of the longitudinally perpendicular plane as the test plane, and a specimen was longitudinally cut out for the Mode II fatigue crack growth test (described in section 3.2). They were formed in the specimen shape after hardening at 1000°C and tempering at 560°C were carried out. The mechanical properties of the specimen are shown in **Table 1**.

### 3.1 Thermal cycle test

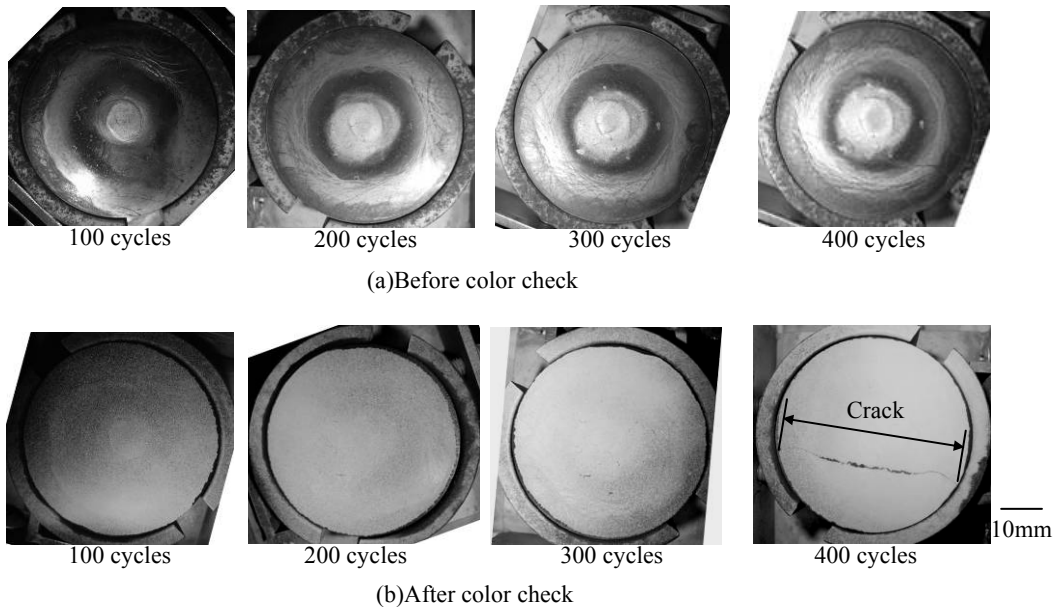
**Figure 3** shows the shapes and dimensions of the specimen and test method. The thermal cycling test carried out under the actual hot rolling roll condition: material and heating temperature and cooling method. The specimen of thermal cycling test is not a roll shape. In this study, we consider the effect of the thermal cycling for the material is able to be confirmed. The center of the specimen was heated for about 3 minutes by high frequency induction heating equipment until specimen surface temperature became 600°C. The equipment power was 3kW, and a high frequency induction coil ( $\phi 20$ mm) was used. The specimen was moved in 0.5 seconds and cooled by water after the heated region became 600°C under the control of the heating temperature measurement by a radiation thermometer. The thermal cycle test was carried out under the condition that a cycle was from the heating to the cooling. A color check was carried out on the specimens, and the crack initiation was confirmed by a visual observation.

**Table 1** Mechanical properties of the specimen.

HV (kgf/mm <sup>2</sup> )	$\sigma_B$ (MPa)	HV : Vickers Hardness $\sigma_B$ : Ultimate Tensile Strength
540	836	



**Fig.3** Thermal cycle test configuration and method.

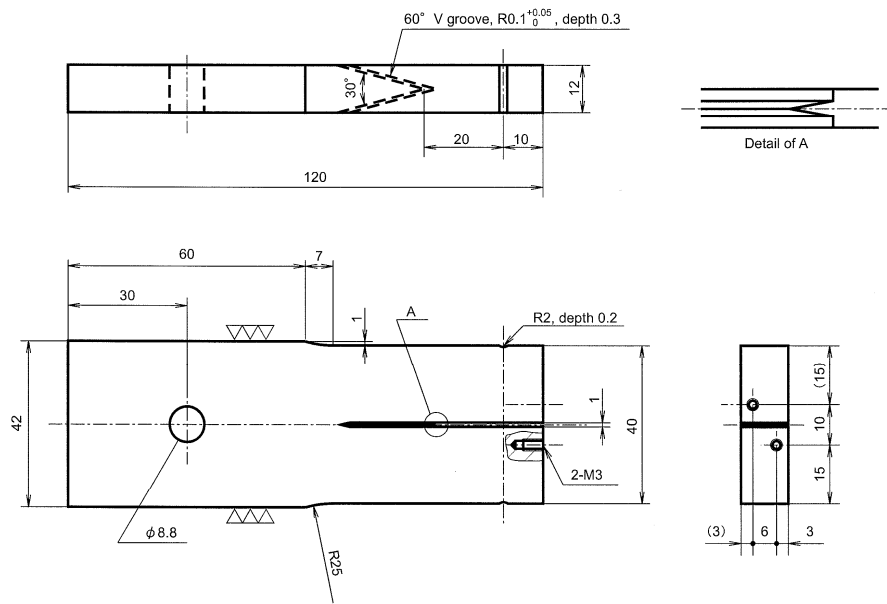


**Fig.4** Specimen surface after thermal cycle test.

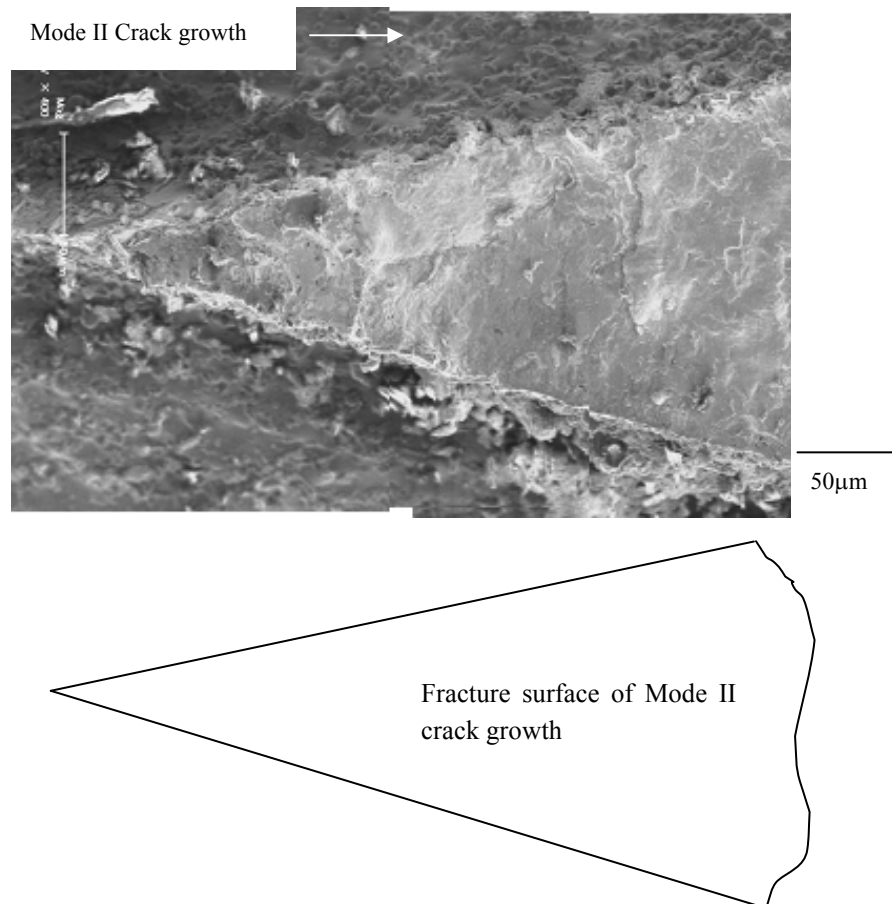
**Figure 4** shows the surface state of the specimens after the thermal cycle test. The crack initiation was observed on the specimen surface after the thermal cycle test of 400 cycles.

### 3.2 Mode II fatigue crack growth test

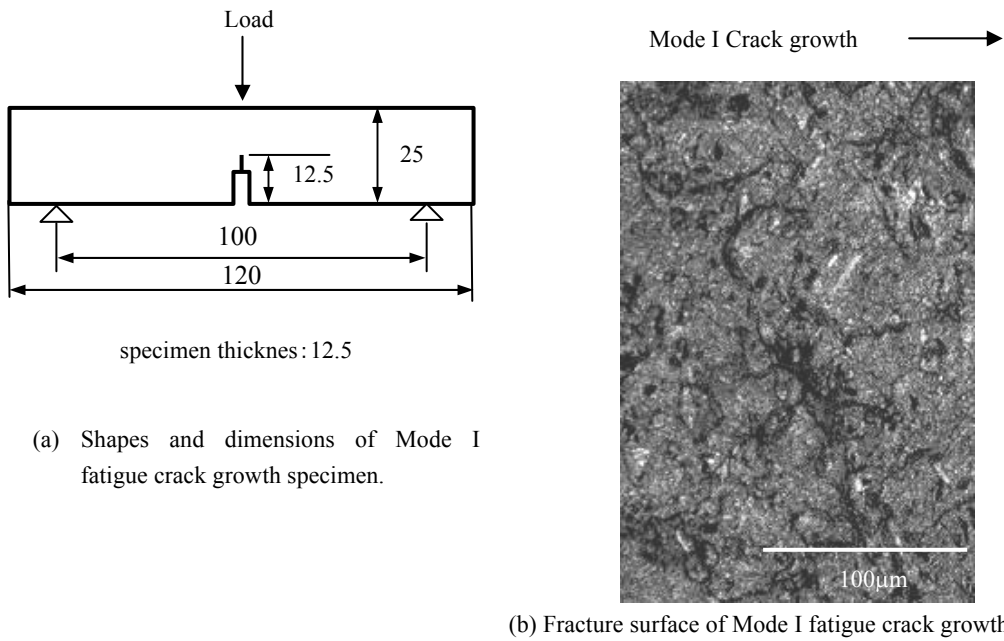
**Figure 5** shows the shapes and dimensions of the specimen. Murakami *et al.* developed the test method<sup>6)</sup>. The specimen shape was devised in order to measure the Mode II fatigue crack growth using a general tension compression fatigue testing machine. The details of the test method have been described in the literature<sup>6)</sup>. Two specimens are used in one test under the condition of the reversed tension - compression (stress ratio  $R = -1$ , load range  $\Delta P = 12000\text{N}$ ). The crack length was measured by the AC electrical potential method<sup>7)-9)</sup>.



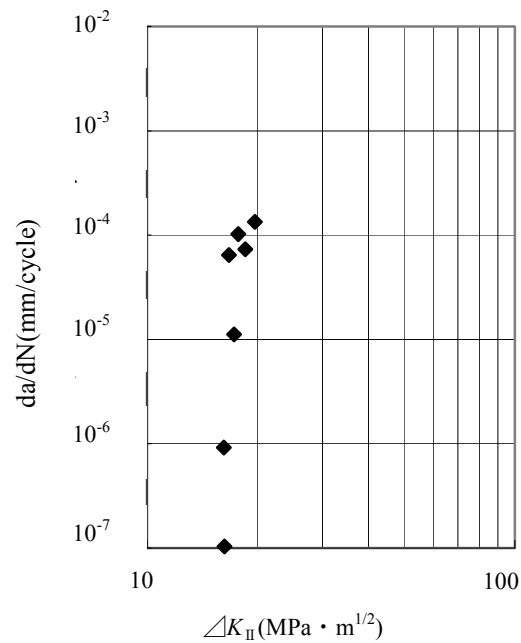
**Fig.5** Shapes and dimensions of Mode II fatigue crack growth specimen<sup>6)</sup>.



**Fig.6** Fracture surface of Mode II fatigue crack growth.



**Fig.7** Mode I fatigue crack growth test.



**Fig.8** Relationship between crack growth rate,  $da/dN$  and Mode II stress intensity factor range,  $\Delta K_{II}$ .

**Figure 6** shows the fracture surface of the Mode II fatigue crack growth. The fracture surface is obviously different from the fracture surface of the Mode I fatigue crack growth ( $R = 0.3$ ,  $\Delta P = 2500\text{N}$ ) shown in **Fig.7**. Hamada's analysis results<sup>10)</sup> were used for the calculation of the Mode II stress intensity factor range  $\Delta K_{II}$ . **Figure 8** shows the relationship between the Mode II crack growth rate  $da/dN$  and  $\Delta K_{II}$ . The Mode II threshold stress intensity factor range of  $\Delta K_{IIth} = 16.0 \text{ MPa}\sqrt{\text{m}}$  was obtained.

## 4. Discussion

### 4.1 Verification of the crack initiation by thermal cycling

**Figure 9** is a photograph around the crack in the specimen surface observed after 400 cycles during the thermal cycle test. The crack growth was observed along the pattern of the black network. **Figure 10** shows the result of the analysis of this black network by EDX (Energy Dispersive X-ray spectroscopy). **Figure 10** shows the result of the oxygen analysis, and it was confirmed that oxygen existed in the black network. The oxide was generated, because the black network was a boundary of the dendrite, and the boundary is easy to be corroded in comparison to the matrix. **Figure 11** shows the result of EDX analysis and the position is different from the **Fig.10**. There are element peaks such as Fe, O and V. The compound oxide seems to have been formed. The Vickers hardness of the black network and the matrix was measured. The sizes of the indentations were about  $25 \mu\text{m}$  under the load condition of  $0.2\text{kgf}$ . As a result of the measurement, The Vickers hardness of the black network and the matrix were 680 and 460, respectively. The hardness of the black network is higher than that of the matrix. Based on this result, the black network becomes brittle due to the formation of the oxide.

In the actual hot rolling, the temperature of the rolled steel is  $900\text{-}1000^\circ\text{C}$ , and the oxide has been formed on the surface. Even if the oxide formed on the roll surface adhered to the rolled steel, the adherent oxide is finally removed with the oxide formed on the rolled steel. The oxide formed on the roll surface does not influence the product quality.

**Figure 12** shows the color checked specimen after 300 thermal cycles. Some small cracks were observed on the specimen surface. Their length was about  $2\text{mm}$ . **Figure 13** shows one of these cracks. A small crack was observed along the black network, and the crack length was about  $2.5\text{mm}$ . In order to confirm the effect of the difference in the mechanical property of the same material, the same thermal cycle test was carried out to an HV370 specimen tempered at  $600^\circ\text{C}$ . **Figure 14** shows the thermal cycle test result for the HV370 specimen. Though in the HV370 specimen, no large crack as shown in **Fig.4(b)** (at 400 cycles) was observed after 700 cycles, the small crack as shown in **Fig.12** was mainly observed.

Verification on the condition of the crack initiation of the small crack origin was then carried out. Based on the calculation by the authors<sup>11)</sup>, in the specimen of the same material, the largest thermal tension stress, which acts on the surface of the specimen, was about  $500\text{MPa}$  after 0.2 seconds from the starts of cooling from  $600^\circ\text{C}$ . The Mode I stress intensity factor  $K_I$  was calculated using the following equation by considering the effect of the inclusion, when the small crack (the overall length  $2a = 2.5\text{mm}$ ) as in **Fig.13** was initiated by the effect of the oxide during the thermal cycle. The effect by the sliding in actual hot rolling was not considered, because the contact area between the rolled steel and the hot rolling mill roll was far from a cooled area of the roll surface.

$$K_I = \sigma \sqrt{\pi(a + \sqrt{\text{area}_{\text{max}}})} \quad (1)$$

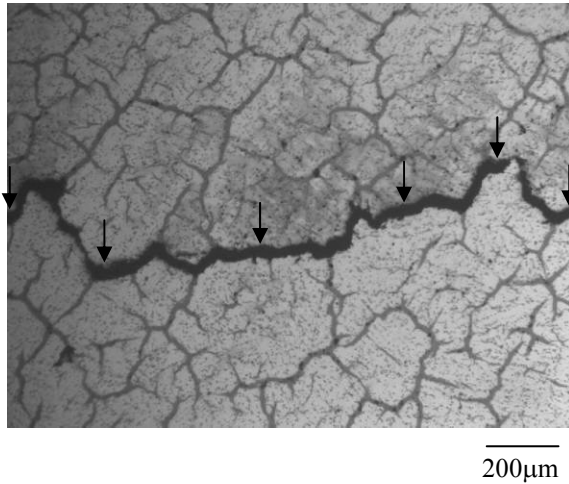
The crack depth  $a$  was considered to be half of the crack's overall length. The largest inclusion length  $\sqrt{\text{area}_{\text{max}}}$  was estimated by the statistics of extreme<sup>12)</sup>. The critical volume  $V$  was considered

using the following equation by considering the effect of the high frequency heating depth (the depth is 1.8mm in this study).

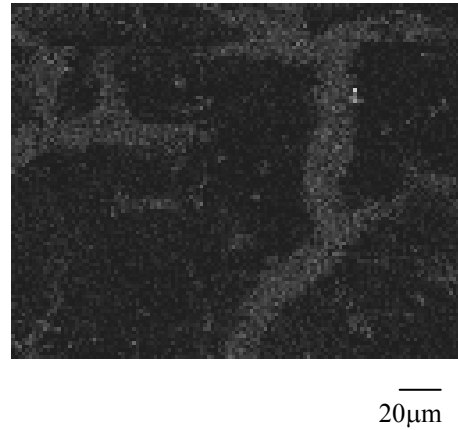
$$V = S \times h_h \quad (2)$$

( $S$ : Heating area,  $h_h = 1.8\text{mm}$ : effect of the high frequency heating depth)

**Figure 15** shows the result of the statistics of extreme. From **Fig.15**, the largest inclusion length estimated in the critical volume were  $803\mu\text{m}$ .  $K_I = 40.1 \text{MPa}\sqrt{\text{m}}$  was obtained by substituting  $\sigma = 500\text{MPa}$  and  $a = 1.25\text{mm}$  and  $\sqrt{\text{area}}_{\text{max}} = 803\mu\text{m}$  into Eq.(1). **Table 2** shows the fracture toughness values  $K_I$  of the HV540 specimen and HV370 specimen obtained from the fracture toughness test in **Fig.7** and **Fig.16**. For the HV540 specimen,  $K_I = 38.1 \text{MPa}\sqrt{\text{m}}$  is bigger than  $K_{IC} = 33.0 \text{MPa}\sqrt{\text{m}}$ , and in the HV370 specimen,  $K_I$  is almost equal to  $K_{IC} = 40.2 \text{MPa}\sqrt{\text{m}}$ .  $K_I$  becomes a maximum ( $K_I = 40.1 \text{MPa}\sqrt{\text{m}}$ ), when the inclusion close to the small crack exists as shown in **Fig.17**. In the thermal cycling test, it was estimated the small crack and the inclusion as shown in **Fig.17** did not exist. The small crack did not become a large crack because  $K_I$  is smaller than  $K_{IC} = 40.2 \text{MPa}\sqrt{\text{m}}$ . In the HV370 specimen, the large crack was not observed after thermal cycle test in 700 cycles, but it is considered that the large crack is caused by the small crack and the inclusion as **Fig.17**, when the thermal cycle test is continuously carried out.

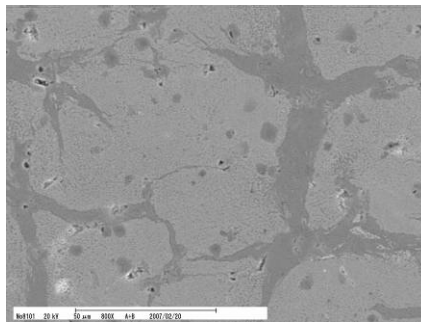


**Fig.9** Crack on the thermal cycle specimen surface.

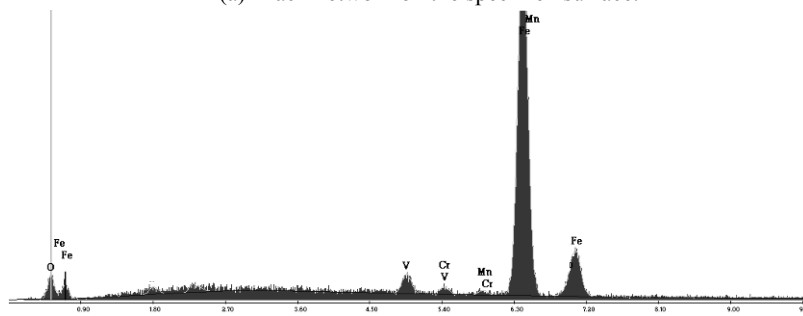


**Fig.10** Result of oxygen analysis on the specimen surface by EDX.

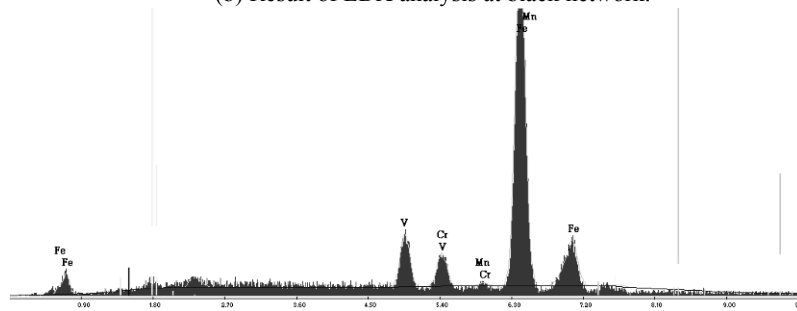




(a) Black network on the specimen surface.

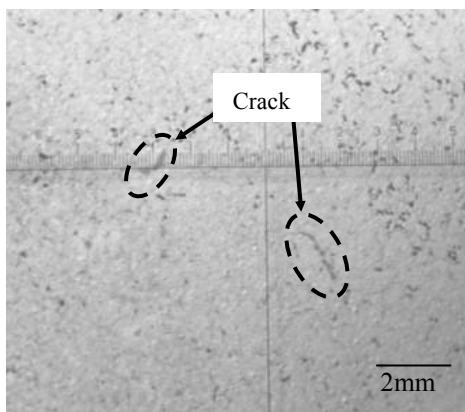


(b) Result of EDX analysis at black network.

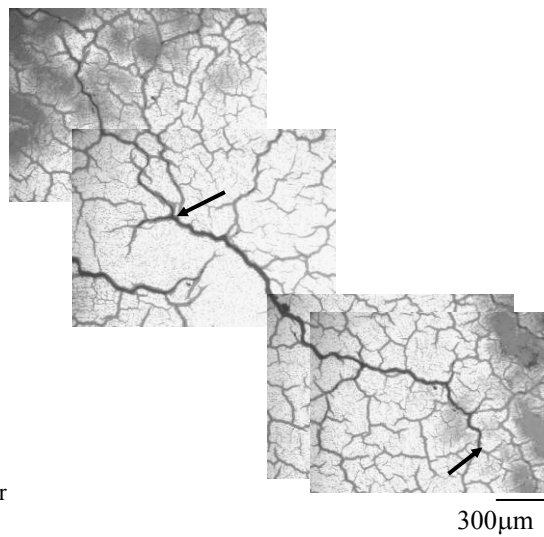


(c) Result of EDX analysis at matrix.

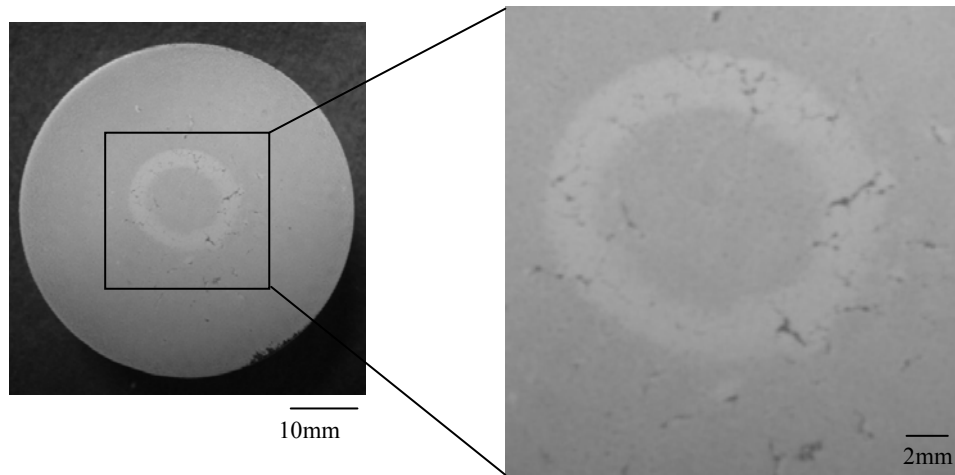
**Fig.11** Result of EDX analysis.



**Fig.12** Small crack on the specimen surface after 300 thermal cycles.



**Fig.13** Enlargement of the small crack.



(a) Specimen surface after thermal cycle test.

(b) Center of the specimen.

**Fig.14** Small crack of HV370 specimen surface after 700 thermal cycles (black line in (b) are small crack).

**Table 2** Fracture toughness value.

HV (kgf/mm <sup>2</sup> )	$K_{IC}$ (MPa $\sqrt{m}$ )
540	33.0
370	40.2

HV : Vickers Hardness  
 $K_{IC}$ : Fracture Toughness

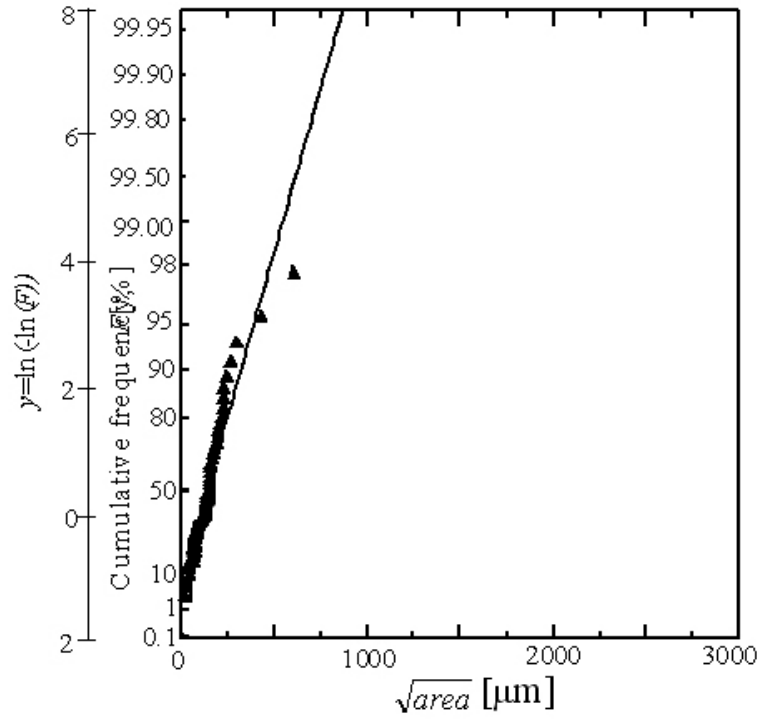


Fig.15 Result of statistics of extreme.

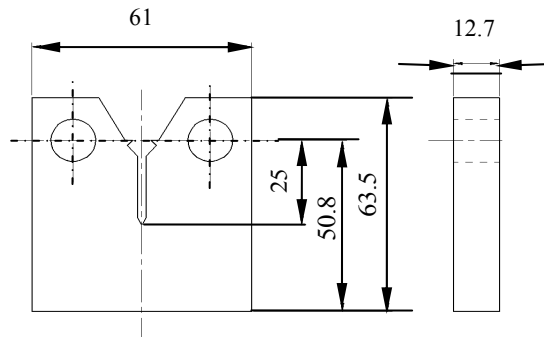


Fig.16 Shapes and dimensions of the fracture toughness test specimen.

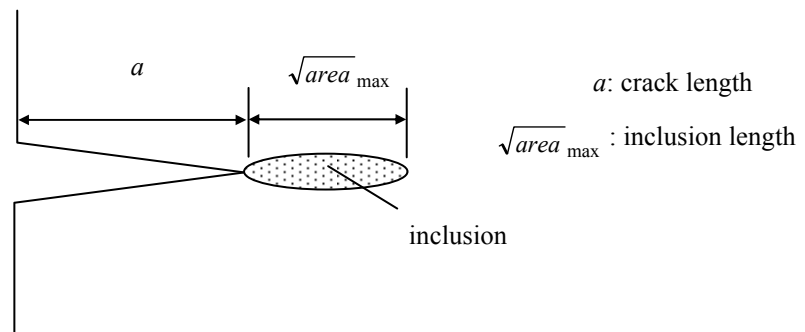


Fig.17 Inclusion close to small crack.

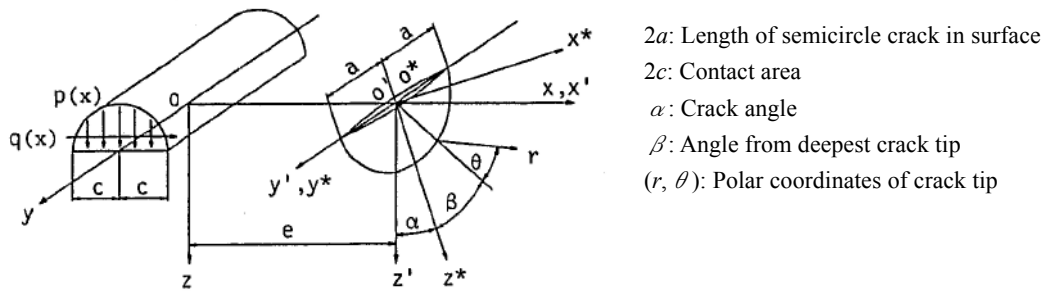


Fig.18 Analytical model and polar coordinates of crack tip<sup>5)</sup>.

## 4.2 Verification of Mode II fatigue crack growth

### 4.2.1 Calculation of $\Delta K_{II}$ in the hot rolling mill roll

Using the analytical model of Murakami *et al.*<sup>5)</sup> shown in **Fig.18**, the stress intensity factor in the vertical crack ( $\alpha = 0^\circ$ ) in the hot rolling mill roll was calculated. The calculation of Murakami *et al.* disregarded following effects: the pressure index of viscosity and compressibility and viscosity of penetrated liquid to the crack surface, and sliding, and the material change by the increase in the contact. In this paper, it is considered these effects can be disregarded to calculate the stress intensity factor at circumferential crack tip. In addition, the validity of the calculation of Murakami *et al.* has been confirmed by the calculation of Yamamoto *et al.*<sup>4)</sup>. The Hertzian pressure  $P_0 = 1747\text{MPa}$  and contact half-width  $c = 6.2\text{mm}$  in the hot rolling mill roll were considered. Calculating plastic deformation is difficult in the rolled steel. But we consider stress caused by elastic deformation is similar to the stress caused by elastic and plastic deformation. Then, in this study, the Hertz pressure obtained by the elasticity calculation is used.

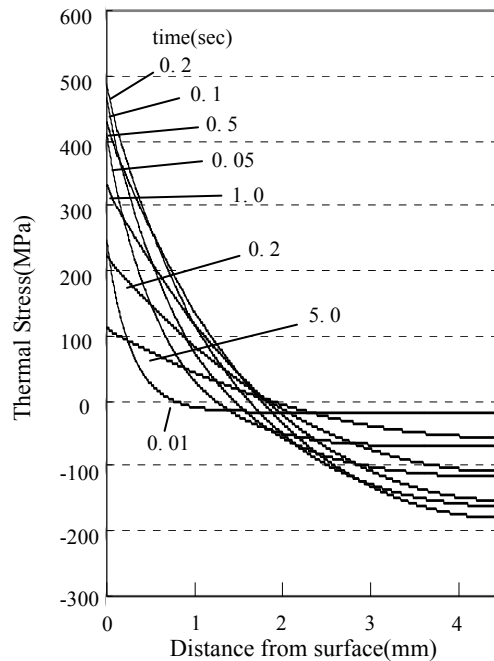
When the crack length was considered  $a = 0.8\text{mm}$  was observed in **Fig.2**, and the friction coefficient was found to be  $f = 0.1$ , the Mode II stress intensity factor range,  $\Delta K_{II} = 17.5\text{MPa}\sqrt{\text{m}}$ , was obtained. The friction coefficient  $f = 0.1$  referred to the literature<sup>13)</sup> measured the friction coefficient in the rolling actually. The  $\Delta K_{II} = 17.5\text{MPa}\sqrt{\text{m}}$  value obtained by the calculation exceeded  $\Delta K_{Ith} = 16.0\text{MPa}\sqrt{\text{m}}$  of the specimen in **Fig.8**, and it satisfied the circumferential Mode II fatigue crack growth conditions.

### 4.2.2 Estimation of the small spalling life

When the circumferential the Mode II fatigue crack grew under the condition at  $\Delta K_{II} = 17.5\text{MPa}\sqrt{\text{m}}$ , Mode II fatigue crack growth rate  $da/dN \approx 5.00 \times 10^{-5} \text{ mm/cycle}$  was obtained from **Fig.8**. The circumferential crack length is 1.8mm in **Fig.2**. The life for the circumferential crack growth in **Fig.2** was estimated to be about  $3.60 \times 10^4$  cycles. The hot rolling mill roll in **Fig.2** passed through  $1.75 \times 10^5$  cycles, and small spalling was observed even during the  $10^4$  cycles (no crack growth was observed). The small spalling life is about  $2.00 \times 10^5$  cycles, if the small spalling size is considered to be about 10 mm in **Fig.1**, and it approaches the phenomenon observed in the actual hot rolling mill roll. The circumferential crack growth length of **Fig.1** and **Fig.2** is different. If the circumferential crack started to grow, when the hot rolling mill roll started to use, there is the difference between the small spalling life prediction from **Fig.1** and the small spalling life prediction from **Fig.2**. Because the crack growth starting to the circumferential direction is uncertain, the estimation in the detailed crack growth life by the confirmation of the conversion of the perpendicular crack to the circumferential crack is required.

The crack initiation life by thermal cycling is then dependent on the material and rolling condition<sup>15),16)</sup>. In a similar material of the actual hot rolling mill roll, it is considered that  $T_{\text{thermal}} \ll T_{\text{Modell}}$  ( $T_{\text{thermal}}$ : crack initiation life by thermal cycling,  $T_{\text{Modell}}$ : circumferential Mode II fatigue crack growth life). **Figure 19** shows the FEM analysis result<sup>11)</sup> of the thermal stress in the specimen from 600°C cooling. The material of specimen and material of the actual hot rolling mill roll are the same. In 1-2mm from the surface, the thermal stress hardly acts on. It is considered the thermal stress does not influence crack growth and crack opening, because the depth of the crack tip by the thermal cycling is 1-2mm.

The reprocessing of the hot rolling mill roll is carried out because the rough surface of the roll by the small spalling causes the print to the product. The roughness by the cracks on the thermal cycling is small, and the roughness does not cause the print to the product. The rolling process is possible to continue, if the cracks by the thermal cycling are generated on the roll surface.



**Fig.19** FEM analytical result of the thermal stress<sup>11)</sup>.

## 5. Conclusion

In this study, the actual high speed steel hot rolling mill roll was investigated. The high speed steel becomes important as the hot rolling mill roll. Considering the investigation of the actual hot rolling mill roll, the thermal cycle test and the Mode II fatigue crack growth test were carried out. The main results are as follows.

- (1) The oxide affected the crack initiation during the thermal cycling.
- (2) The Mode II fatigue crack growth rate for the high speed steel was obtained.
- (3) It was possible to estimate the small spalling life on the roll surface to some extent from the relationship  $da/dN$  and  $\Delta K_{II}$ . This result is an index of the material development for high wear resistance materials like the high speed steel.

- (4) Based on the results of the test results of the thermal cycle test and Mode II fatigue crack growth test, the small spalling life of the hot rolling mill roll was verified. The Mode II fatigue crack growth life was longer than the crack initiation life by thermal cycling.

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